

p -ADIC GEOMETRY

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ABSTRACT. We discuss recent developments in p -adic geometry, ranging from foundational results such as the degeneration of the Hodge-to-de Rham spectral sequence for “compact p -adic manifolds” over new period maps on moduli spaces of abelian varieties to applications to the local and global Langlands conjectures, and the construction of “universal” p -adic cohomology theories. We finish with some speculations on how a theory that combines all primes p , including the archimedean prime, might look like.

1. INTRODUCTION

In this survey paper, we want to give an introduction to the world of ideas which the author has explored in the past few years, and indicate some possible future directions. The two general themes that dominate this work are the cohomology of algebraic varieties, and the local and global Langlands correspondences. These two topics are classically intertwined ever since the cohomology of the moduli space of elliptic curves and more general Shimura varieties has been used for the construction of Langlands correspondences. Most of our work so far is over p -adic fields, where we have established analogues of the basic results of Hodge theory for “compact p -adic manifolds”, have constructed a “universal” p -adic cohomology theory, and have made progress towards establishing the local Langlands correspondence for a general p -adic reductive group by using a theory of p -adic *shtukas*, and we will recall these results below.

However, here we wish to relay another, deeper, relation between the cohomology of algebraic varieties and the structures underlying the Langlands correspondence, a relation that pertains not to the cohomology of specific algebraic varieties, but to the very notion of what “the” cohomology of an algebraic variety is. Classically, the study of the latter is the paradigm of “motives” envisioned by Grothendieck; however, that vision has still only been partially realized, by Voevodsky, [Voe00], and others. Basically, Grothendieck’s idea was to find the “universal” cohomology as the universal solution to a few basic axioms; in order to see that this has the desired properties, one however needs to know the existence of “enough” algebraic cycles as encoded in the standard conjectures, and more generally the Hodge and Tate conjectures. However, little progress has been made on these questions. We propose to approach the subject from the other side and construct an *explicit* cohomology theory that practically behaves like a universal cohomology theory (so that, for example, it specializes to all other known cohomology theories); whether or not it is universal in the technical sense of being the universal solution to certain axioms will then be a secondary question.

This deeper relation builds on the realization of Drinfeld, [Dri80], that in the function field case, at the heart of the Langlands correspondence lie moduli spaces of *shtukas*. Anderson, [And86], Goss, [Gos96], and others have since studied the notion

of t -motives, which is a special kind of shtuka, and is a remarkable function field analogue of motives, however without any relation to the cohomology of algebraic varieties. What we are proposing here is that, despite extreme difficulties in making sense of this, there should exist a theory of shtukas in the number field case, and that the cohomology of an algebraic variety, i.e. a motive, should be an example of such a shtuka.

This picture has been essentially fully realized in the p -adic case. In the first sections of this survey, we will explain these results in the p -adic case; towards the end, we will then speculate on how the full picture over $\text{Spec } \mathbb{Z}$ should look like, and give some evidence that this is a reasonable picture.

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finite-dimensional by a theorem of Kiehl, [Kie67]. By the definition of $H_{\text{dR}}^i(X/C)$ as the hypercohomology of the de Rham complex, one finds an E_1 -spectral sequence

$$E_1^{ij} = H^j(X, \Omega_X^i) \Rightarrow H_{\text{dR}}^{i+j}(X/C)$$

called the Hodge-to-de Rham spectral sequence. In complex geometry, a basic consequence of Hodge theory is that this spectral sequence degenerates at E_1 if X admits a Kähler metric. This assumption is not necessary in p -adic geometry:

Theorem 2.1 ([Sch13a, Corollary 1.8], [BMS16, Theorem 13.12]). *For any proper smooth rigid-analytic space X over C , the Hodge-to-de Rham spectral sequence*

$$E_1^{ij} = H^j(X, \Omega_X^i) \Rightarrow H_{\text{dR}}^{i+j}(X/C)$$

degenerates at E_1 . Moreover, for all $i \geq 0$,

$$\sum_{j=0}^i \dim_C H^{i-j}(X, \Omega_X^j) = \dim_C H_{\text{dR}}^i(X/C) = \dim_{\mathbb{Q}_p} H_{\text{ét}}^i(X, \mathbb{Q}_p).$$

Fortunately, the Hodge-to-de Rham spectral sequence does degenerate for the Hopf surface – and the examples of nondegeneration such as the Iwasawa manifolds do not have p -adic analogues.

Over the complex numbers, the analogue of the equality $\dim_C H_{\text{dR}}^i(X/C) = \dim_{\mathbb{Q}_p} H_{\text{ét}}^i(X, \mathbb{Q}_p)$ follows from the comparison isomorphism between singular and de Rham cohomology. In the p -adic case, the situation is slightly more complicated, and the comparison isomorphism only exists after extending scalars to Fontaine’s field of p -adic periods B_{dR} . If X is only defined over C , it is nontrivial to formulate the correct statement, as there is no natural map $C \rightarrow B_{\text{dR}}$ along which one can extend scalars; the correct statement is Theorem 6.3 below.

There is however a different way to obtain the desired equality of dimensions. This relies on the Hodge-Tate spectral sequence, a form of which is implicit in Faltings’s proof of the Hodge-Tate decomposition, [Fal88].

Theorem 2.2 ([Sch13b, Theorem 3.20], [BMS16, Theorem 13.12]). *For any proper smooth rigid-analytic space X over C , there is a Hodge-Tate spectral sequence*

$$E_2^{ij} = H^i(X, \Omega_X^j)(-j) \Rightarrow H_{\text{ét}}^{i+j}(X, \mathbb{Z}_p) \otimes_{\mathbb{Z}_p} C$$

that degenerates at E_2 .

Here, $(-j)$ denotes a Tate twist, which becomes important when one wants to make everything Galois-equivariant. Note that the Hodge cohomology groups appear in the other order than in the Hodge-to-de Rham spectral sequence.

Remark 2.3 If X is the base change of a proper smooth rigid space defined over a discretely valued field $K \subset C$, then everything in sight carries a Galois action, and it follows from the results of Tate, [Tat67], that there is a unique Galois-equivariant splitting of the abutment filtration, leading to a Galois-equivariant isomorphism

$$H_{\text{ét}}^i(X, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} C \cong \bigoplus_{j=0}^i H^{i-j}(X, \Omega_X^j)(-j),$$

answering a question of Tate, [Tat67, Section 4.1, Remark]. However, this isomorphism does not exist in families. This is analogous to the Hodge decomposition over the complex numbers that does not vary holomorphically in families.

An interesting question is whether Hodge symmetry could still hold under some condition on X . Such an analogue of the Kähler condition has recently been proposed by Li, [Li17]. In joint work with Hansen, [HL17], they state the following conjecture that they prove in the case $i + j = 1$.

Conjecture 2.4. *Let X be a proper smooth rigid-analytic variety that admits a formal model \mathfrak{X} whose special fibre is projective. Then for all $i, j \geq 0$, one has $\dim_{\mathbb{C}} H^i(X, \Omega_X^j) = \dim_{\mathbb{C}} H^j(X, \Omega_X^i)$.*

The condition is indeed analogous to the Kähler condition; in Arakelov theory, the analogue of a metric is a formal model, and the positivity condition on the Kähler metric finds a reasonable analogue in the condition that the special fibre is projective.

Note that in particular it follows from the results of Hansen-Li that for any proper formal model \mathfrak{X} of the Hopf surface (which exist by Raynaud's theory of formal models), the special fibre is a non-projective (singular) proper surface.

From the Hodge-to-de Rham and the Hodge-Tate spectral sequence, one obtains abutments filtrations that we call the Hodge-de Rham filtration and the Hodge-Tate filtration. Their variation in families defines interesting period maps as we will recall in the next sections.

3 PERIOD MAPS FROM DE RHAM COHOMOLOGY

First, we recall the more classical case of the period maps arising from the variation of the Hodge filtration on de Rham cohomology. For simplicity, we will discuss the moduli space \mathcal{M}/\mathbb{Z} of elliptic curves. If E is an elliptic curve over the complex numbers, then $H^0(E, \Omega_E^1) = (\text{Lie } E)^*$ is the dual of the Lie algebra, $H^1(E, \mathcal{O}_E) = \text{Lie } E^*$ is the Lie algebra of the dual elliptic curve E^* (which, for elliptic curves, is canonically isomorphic to E itself), and the Hodge-de Rham filtration is a short exact sequence

$$0 \rightarrow (\text{Lie } E)^* \rightarrow H_{\text{dR}}^1(E/\mathbb{C}) \rightarrow \text{Lie } E^* \rightarrow 0,$$

where $H_{\text{dR}}^1(E/\mathbb{C}) \cong H_{\text{sing}}^1(E, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{C}$. The classical period map takes the form

$$\begin{array}{ccc} & \widetilde{\mathcal{M}} & \\ & \swarrow & \searrow \\ \mathcal{M}(\mathbb{C}) & & \mathbb{H}^{\pm} = \mathbb{P}^1(\mathbb{C}) \setminus \mathbb{P}^1(\mathbb{R}) \end{array}$$

where $\widetilde{\mathcal{M}} \rightarrow \mathcal{M}(\mathbb{C})$ is the $\text{GL}_2(\mathbb{Z})$ -torsor parametrizing trivializations of the first singular cohomology of the elliptic curve. Given an elliptic curve E/\mathbb{C} with such a trivialization $H^1(E, \mathbb{Z}) \cong \mathbb{Z}^2$, the Hodge filtration $(\text{Lie } E)^* \subset H_{\text{sing}}^1(E, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{C} \cong \mathbb{C}^2$ defines a point of $\mathbb{P}^1(\mathbb{C}) \setminus \mathbb{P}^1(\mathbb{R})$ as

$$H_{\text{sing}}^1(E, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{C} \cong H_{\text{dR}}^1(E/\mathbb{C}) \cong (\text{Lie } E)^* \oplus \overline{(\text{Lie } E)^*}.$$

If now E is an elliptic curve over the algebraically closed p -adic field C , then we still have the identifications $H^0(E, \Omega_E^1) = (\text{Lie } E)^*$, $H^1(E, \mathcal{O}_E) = \text{Lie } E^*$ and the Hodge-de Rham filtration

$$0 \rightarrow (\text{Lie } E)^* \rightarrow H_{\text{dR}}^1(E/C) \rightarrow \text{Lie } E^* \rightarrow 0.$$

To construct period maps, we need to fix a point $x \in \mathcal{M}(\overline{\mathbb{F}}_p)$. Let $\check{\mathbb{Q}}_p$ be the completion of the maximal unramified extension of \mathbb{Q}_p , and consider the rigid space

$$\check{\mathcal{M}} = \mathcal{M}_{\check{\mathbb{Q}}_p}^{\text{rig}}.$$

Let $U_x \subset \check{\mathcal{M}}$ be the tube of x , i.e. the open subspace of all points specializing to x . This is isomorphic to an open unit ball $\mathbb{D} = \{z \mid |z| < 1\}$. Then the crystalline nature of de Rham cohomology (in particular, the connection) imply that the de Rham cohomology $H_{\text{dR}}^i(E_{\check{x}})$ is canonically identified for all $\check{x} \in U_x$. Now the variation of the Hodge filtration defines a period map

$$\pi_x : U_x \cong \mathbb{D} \rightarrow \check{\mathbb{P}}^1 = (\mathbb{P}_{\check{\mathbb{Q}}_p}^1)^{\text{rig}}.$$

There are two cases to consider here. If x corresponds to an ordinary elliptic curve, then there is an identification $U_x \cong \mathbb{D}$ such that the map π_x is given by the logarithm map

$$z \mapsto \log(1+z) : U_x = \mathbb{D} = \{z \mid |z| < 1\} \rightarrow \check{\mathbb{A}}^1 \subset \check{\mathbb{P}}^1.$$

This map is an étale covering map onto $\check{\mathbb{A}}^1$, and the geometric fibres are given by copies of $\mathbb{Q}_p/\mathbb{Z}_p$; for example, the fibre over 0 is given by all p -power roots of unity minus 1, i.e. $z = \zeta_{p^r} - 1$. Note that this implies in particular that $(\mathbb{A}_{\mathbb{C}_p}^1)^{\text{rig}}$ has interesting (non-finite) étale coverings, contrary both to the scheme case and the case over the complex numbers.

If x corresponds to a supersingular elliptic curve, then π_x becomes a map

$$\pi_x : U_x \cong \mathbb{D} \rightarrow \check{\mathbb{P}}^1.$$

In this case, the map is known as the Gross-Hopkins period map, [HG94]. It is an étale covering map of $\check{\mathbb{P}}^1$ whose geometric fibres are given by copies of $\text{GL}_2(\mathbb{Q}_p)_1/\text{GL}_2(\mathbb{Z}_p)$, where $\text{GL}_2(\mathbb{Q}_p)_1 \subset \text{GL}_2(\mathbb{Q}_p)$ is the open subgroup of all $g \in \text{GL}_2(\mathbb{Q}_p)$ with $\det g \in \mathbb{Z}_p^\times$.

It is important to note that these maps π_x for varying x cannot be assembled into a single map from \mathcal{M} towards $\check{\mathbb{P}}^1$, contrary to the case over the complex numbers. We will however see a global period map in the next section.

These examples are the basic examples of *local Shimura varieties*, which are associated with local Shimura data, [RV14]:

- (i) A reductive group G over \mathbb{Q}_p .
- (ii) A conjugacy class of minuscule cocharacters $\mu : \mathbb{G}_m \rightarrow G_{\overline{\mathbb{Q}}_p}$, defined over the reflex field E (a finite extension of \mathbb{Q}_p).
- (iii) A σ -conjugacy class $b \in B(G, \mu)$.

We will say something about datum (iii) in Theorem 6.5 below. In our example, we have $G = \text{GL}_2$, and μ is the conjugacy class of $t \mapsto \text{diag}(t, 1)$. In this case, $B(G, \mu)$ contains exactly two elements, corresponding to the cases of ordinary and supersingular elliptic curves, respectively.

Let \check{E} be the completion of the maximal unramified extension of E . Corresponding to G and μ , one gets a flag variety $\check{\mathcal{F}}_{G, \mu}$ over \check{E} , which we consider as an adic space; in our example, this is $\check{\mathbb{P}}^1$. The following theorem on the existence of local Shimura varieties proves a conjecture of Rapoport-Viehmann, [RV14].

Theorem 3.1 ([SW17]). *There is a natural open subspace $\mathcal{F}l_{G,\mu}^a \subset \mathcal{F}l_{G,\mu}$, called the admissible locus, and an étale covering map*

$$\mathcal{M}_{(G,b,\mu),K} \rightarrow \mathcal{F}l_{G,\mu}^a \subset \mathcal{F}l_{G,\mu}$$

with geometric fibres $G(\mathbb{Q}_p)/K$ for any compact open subgroup $K \subset G(\mathbb{Q}_p)$.

Remark 3.2. The geometric fibres differ from the examples above. In the examples, $\mathcal{M}_{(G,b,\mu),\mathrm{GL}_2(\mathbb{Z}_p)}$ is a certain disjoint union of various U_x for x ranging through a p -power-isogeny class of elliptic curves.

The (towers of) spaces $(\mathcal{M}_{(G,b,\mu),K})_{K \subset G(\mathbb{Q}_p)}$ are known as local Shimura varieties; their cohomology is expected to realize local Langlands correspondences, cf. [RV14]. This is one of our primary motivations for constructing these spaces.

In hindsight, one can say that in [RZ96], Rapoport-Zink constructed these local Shimura varieties in many examples, by explicitly constructing $\mathcal{M}_{(G,b,\mu),K}$ as a moduli space of p -divisible groups with extra structures such as endomorphisms and polarization, together with a quasi-isogeny to a fixed p -divisible group. An advantage of this approach is that, at least for special choices of K such as parahoric subgroups, one actually constructs formal schemes whose generic fibre is the local Shimura variety, and it is often easier to understand the formal scheme.

We will explain our construction of local Shimura varieties in Section 6, and in Section 7 we will deal with integral models of local Shimura varieties.

4. PERIOD MAPS FROM ÉTALE COHOMOLOGY

A different period map known as the Hodge-Tate period map parametrizes the variation of the Hodge-Tate filtration in families, and has been defined for general Shimura varieties of Hodge type in [Sch15].

If E is an elliptic curve over the algebraically closed p -adic field C , the Hodge-Tate filtration is given by a short exact sequence

$$0 \rightarrow \mathrm{Lie} E^* \rightarrow H_{\text{ét}}^1(E, \mathbb{Z}_p) \otimes_{\mathbb{Z}_p} C \rightarrow (\mathrm{Lie} E)^*(-1) \rightarrow 0.$$

Note that the Lie algebra terms here appear in opposite order when compared to the situation over \mathbb{C} . To obtain the period map, we need to trivialize the middle term. Thus, using the space $\check{\mathcal{M}} = (\mathcal{M}_{\mathbb{Q}_p})^{\mathrm{rig}}$ as in the last section, we consider the diagram

$$\begin{array}{ccc} & \check{\mathcal{M}} & \\ & \swarrow & \searrow \pi_{HT} \\ \check{\mathcal{M}} & & \check{\mathbb{P}}^1 \end{array}$$

Here $\check{\mathcal{M}} \rightarrow \check{\mathcal{M}}$ parametrizes isomorphisms $H_{\text{ét}}^1(E, \mathbb{Z}_p) \cong \mathbb{Z}_p^2$; this defines a $\mathrm{GL}_2(\mathbb{Z}_p)$ -torsor. An essential difficulty here is that this space will be very big, and in particular highly nonnoetherian. By [Sch15], it gives a basic example of a perfectoid space in the sense of [Sch12].

Now $\check{\mathcal{M}}$ admits the Hodge-Tate period map

$$\pi_{HT} : \check{\mathcal{M}} \rightarrow \check{\mathbb{P}}^1$$

sending a pair of an elliptic curve E/C with an isomorphism $H_{\text{ét}}^1(E, \mathbb{Z}_p) \cong \mathbb{Z}_p^2$ to the filtration $\mathrm{Lie} E^* \subset H_{\text{ét}}^1(E, \mathbb{Z}_p) \otimes_{\mathbb{Z}_p} C \cong C^2$.

The geometry of this map is very interesting. When restricted to Drinfeld's upper half-plane $\check{\Omega}^2 = \check{\mathbb{P}}^1 \setminus \mathbb{P}^1(\mathbb{Q}_p)$, the map is a pro-finite étale cover, while the fibres over points in $\mathbb{P}^1(\mathbb{Q}_p)$ are curves, so that the fibre dimension jumps. Let us discuss these two situations in turn.

If we fix a supersingular elliptic curve $x \in \mathcal{M}(\overline{\mathbb{F}}_p)$, then we can restrict the Hodge-Tate period map to

$$\tilde{U}_x = U_x \times_{\check{\mathcal{M}}} \tilde{\mathcal{M}},$$

and arrive at the following picture:

$$\begin{array}{ccc} & \tilde{U}_x & \\ \pi_x \swarrow & & \searrow \pi_{HT} \\ \check{\mathbb{P}}^1 & U_x & \check{\Omega}^2 \end{array}$$

Here, the map $\tilde{U}_x \rightarrow U_x$ is a $\mathrm{GL}_2(\mathbb{Z}_p)$ -torsor, while $U_x \rightarrow \check{\mathbb{P}}^1$ has geometric fibres $\mathrm{GL}_2(\mathbb{Q}_p)_1/\mathrm{GL}_2(\mathbb{Z}_p)$; in fact, in total $\tilde{U}_x \rightarrow \check{\mathbb{P}}^1$ is a $\mathrm{GL}_2(\mathbb{Q}_p)_1$ -torsor. To restore full $\mathrm{GL}_2(\mathbb{Q}_p)$ -equivariance, we consider the perfectoid space

$$\mathcal{M}_{LT,\infty} = \tilde{U}_x \times^{\mathrm{GL}_2(\mathbb{Q}_p)_1} \mathrm{GL}_2(\mathbb{Q}_p) \cong \bigsqcup_{\mathbb{Z}} \tilde{U}_x,$$

which is known as the Lubin-Tate tower at infinite level, cf. [SW13], [Wei16]. On the other hand, $\tilde{U}_x \rightarrow \check{\Omega}^2$ turns out to be an \mathcal{O}_D^\times -torsor, where D/\mathbb{Q}_p is the quaternion algebra. Here, the \mathcal{O}_D -action arises from the identification $\mathcal{O}_D = \mathrm{End}(E_x) \otimes_{\mathbb{Z}} \mathbb{Z}_p$, where E_x is the supersingular elliptic curve corresponding to x ; by functoriality, this acts on the deformation space of x , and thus also on U_x and \tilde{U}_x . In terms of the Lubin-Tate tower,

$$\pi_{HT} : \mathcal{M}_{LT,\infty} \rightarrow \check{\Omega}^2$$

is a D^\times -torsor.

We see that $\mathcal{M}_{LT,\infty}$ has two different period morphisms, corresponding to the Hodge-de Rham filtration and the Hodge-Tate filtration. This gives the isomorphism between the Lubin-Tate and Drinfeld tower at infinite level, [Fal02], [FGL08],

$$\begin{array}{ccc} \mathrm{GL}_2(\mathbb{Q}_p) & \mathcal{M}_{LT,\infty} & \xlongequal{\quad} \mathcal{M}_{Dr,\infty} & \xrightarrow{D^\times} & \check{\Omega}^2 \\ \check{\mathbb{P}}^1 & \longleftarrow & & & \end{array}$$

A similar duality theorem holds true for any local Shimura variety for which b is basic. The local Shimura datum (G, b, μ) then has a dual datum $(\hat{G}, \hat{b}, \hat{\mu})$, where $\hat{G} = J_b$ is the σ -centralizer of b , $\hat{b} = b^{-1} \in J_b$, and $\hat{\mu} = \mu^{-1}$ under the identification $G_{\overline{\mathbb{Q}}_p} \cong \hat{G}_{\overline{\mathbb{Q}}_p}$.

Theorem 4.1 ([SW13], [SW17]). *There is a natural isomorphism*

$$\varprojlim_{K \subset G(\mathbb{Q}_p)} \mathcal{M}_{(G,b,\mu),K} \cong \varprojlim_{\hat{K} \subset \hat{G}(\mathbb{Q}_p)} \mathcal{M}_{(\hat{G},\hat{b},\hat{\mu}),\hat{K}}.$$

Remark 4.2 This proves a conjecture of Rapoport-Zink, [RZ96, Section 5.54]. One has to be careful with the notion of inverse limits here, as inverse limits in adic spaces do not exist in general. One can

On the other hand, we can restrict the Hodge-Tate period map to $\mathbb{P}^1(\mathbb{Q}_p)$. In this case, the fibres of π_{HT} are curves. More precisely, consider the Igusa curve Ig parametrizing ordinary elliptic curves E in characteristic p together with an isomorphism $E[p^\infty]_{\text{ét}} \cong \mathbb{Q}_p/\mathbb{Z}_p$ of the étale quotient $E[p^\infty]_{\text{ét}}$ of the p -divisible group $E[p^\infty]$. Its perfection Ig^{perf} lifts uniquely to a formal scheme $\mathcal{I}\mathfrak{g}$ over $\check{\mathbb{Z}}_p$, and then, modulo boundary issues, $\pi_{HT}^{-1}(x)$ is the generic fibre of $\mathcal{I}\mathfrak{g}$.

Let us state these results about the Hodge-Tate period map for a general Shimura variety of Hodge type.

Theorem 4.3 ([Sch15], [CS17]). *Consider a Shimura variety Sh_K , $K \subset G(\mathbb{A}_f)$, of Hodge type, associated with some reductive group G/\mathbb{Q} and Shimura data, including the conjugacy class of cocharacters μ with field of definition E . Let $\mathcal{F}\ell_{G,\mu} = G/P_\mu$ be the corresponding flag variety.² Fix a prime p and a prime \mathfrak{p} of E dividing p .*

- (i) *For any compact open subgroup $K^p \subset G(\mathbb{A}_f^p)$, there is a unique perfectoid space Sh_{K^p} over $E_{\mathfrak{p}}$ such that*

$$\text{Sh}_{K^p} \sim \varprojlim_{K_p \subset G(\mathbb{Q}_p)} (\text{Sh}_{K_p K^p} \otimes E_{\mathfrak{p}})^{\text{rig}}.$$

- (ii) *There is a $G(\mathbb{Q}_p)$ -equivariant Hodge-Tate period map*

$$\pi_{HT} : \text{Sh}_{K^p} \rightarrow \mathcal{F}\ell_{G,\mu},$$

where we consider the right-hand side as an adic space over $E_{\mathfrak{p}}$.

- (iii) *There is a Newton stratification*

$$\mathcal{F}\ell_{G,\mu} = \bigsqcup_{b \in B(G,\mu)} \mathcal{F}\ell_{G,\mu}^b$$

into locally closed strata.

- (iv) *If the Shimura variety is compact and of PEL type, then if $\bar{x} \in \mathcal{F}\ell_{G,\mu}^b$ is a geometric point, the fibre $\pi_{HT}^{-1}(\bar{x})$ is the canonical lift of the perfection of the Igusa variety associated with b .*

We note that the Newton strata in (iii) are only defined on the adic space: sometimes they are nonempty but have no classical points!

5. APPLICATIONS TO LANGLANDS RECIPROCITY

The geometry of the Hodge-Tate period map has been used to obtain new results on the Langlands conjectures relating automorphic forms and Galois representations, especially in the case of torsion coefficients.

Let us first recall the results obtained in [Sch15]. For any reductive group G over \mathbb{Q} and a congruence subgroup $\Gamma \subset G(\mathbb{Q})$, one can look at the locally symmetric $X_\Gamma = \Gamma \backslash X$, where X is the symmetric space for $G(\mathbb{R})$. To study Hecke operators, it is more convenient to switch to the adelic formalism, and consider

$$X_K = G(\mathbb{Q}) \backslash (X \times G(\mathbb{A}_f)/K)$$

for a compact open subgroup $K \subset G(\mathbb{A}_f)$, assumed sufficiently small from now on. The cohomology $H^i(X_K, \mathbb{C})$ with complex coefficients can be computed in terms of automorphic forms. By the Langlands correspondence, one expects associated Galois representations. Much progress was made on these questions in case X is

²There are actually two choices for this flag variety; we refer to [CS17] for a discussion of which one to choose.

a hermitian symmetric space, so that the X_K are algebraic varieties over number fields, and their étale cohomology gives Galois representations. However, the groups $G = \mathrm{GL}_n$ for $n > 2$ are not of this type.

It was conjectured by Grunewald in the 70's and later more precisely Ash, [Ash92], that this relation between cohomology and Galois representations extends to the full integral cohomology groups $H^i(X_K, \mathbb{Z})$, including their torsion subgroups, which can be enormous, especially in the case of hyperbolic 3-manifolds, cf. [BV13].

Theorem 5.1 ([Sch15]). *Assume that $G = \mathrm{Res}_{F/\mathbb{Q}} \mathrm{GL}_n$ for some totally real or CM field F . Consider the abstract Hecke algebra \mathbb{T} acting on X_K , generated by Hecke operators at good primes, and let $\mathbb{T}_K \subset \mathrm{End}(\bigoplus_i H^i(X_K, \mathbb{Z}))$ be the image of \mathbb{T} . For any maximal ideal $\mathfrak{m} \subset \mathbb{T}_K$, there is a continuous semisimple representation*

$$\bar{\rho}_{\mathfrak{m}} : \mathrm{Gal}(\bar{F}/F) \rightarrow \mathrm{GL}_n(\mathbb{T}_K/\mathfrak{m})$$

which is unramified at good primes, with Frobenius eigenvalues determined in terms of Hecke operators. If $\bar{\rho}_{\mathfrak{m}}$ is absolutely irreducible, then there is a nilpotent ideal $I_{\mathfrak{m}} \subset \mathbb{T}_{K,\mathfrak{m}}$ in the \mathfrak{m} -adic completion $\mathbb{T}_{K,\mathfrak{m}}$ of \mathbb{T}_K whose nilpotence degree is bounded in terms of n and $[F:\mathbb{Q}]$, such that there is a continuous representation

$$\rho_{\mathfrak{m}} : \mathrm{Gal}(\bar{F}/F) \rightarrow \mathrm{GL}_n(\mathbb{T}_{K,\mathfrak{m}}/I_{\mathfrak{m}})$$

which is unramified at good primes, with the characteristic polynomials of Frobenius elements determined in terms of Hecke operators.

In particular, for all cohomological automorphic representations π of G , there exists a corresponding continuous semisimple Galois representation $\rho_{\pi} : \mathrm{Gal}(\bar{F}/F) \rightarrow \mathrm{GL}_n(\bar{\mathbb{Q}}_{\ell})$ unramified at good primes.

The final part of this theorem was proved previously by Harris-Lan-Taylor-Thorne, [HLTT16]. The general strategy is to realize X_K as a boundary component of the Borel-Serre compactification of a Shimura variety $\tilde{X}_{\tilde{K}}$ associated with a quasisplit symplectic or unitary group, and use the known existence of Galois representations for cusp forms on that space. The key part of the argument then is to show that all torsion cohomology classes on $\tilde{X}_{\tilde{K}}$ can be lifted to characteristic 0, which is done using certain subtle results from p -adic Hodge theory in [Sch15].

For applications, one needs a precise understanding of the behaviour of the Galois representations at bad primes as well, in particular for primes dividing ℓ . To attack such questions, a better understanding of the torsion in $\tilde{X}_{\tilde{K}}$ is necessary. This has been obtained recently in joint work with Caraiani.

Theorem 5.2 ([CS]). *Let $\tilde{\mathbb{T}}$ be the Hecke algebra acting on the cohomology of $\tilde{X}_{\tilde{K}}$. Assume that the maximal ideal $\tilde{\mathfrak{m}} \subset \tilde{\mathbb{T}}$ is generic. Then*

$$H_c^i(\tilde{X}_{\tilde{K}}, \mathbb{Z}_{\ell})_{\tilde{\mathfrak{m}}} = 0$$

for $i > d = \dim_{\mathbb{C}} \tilde{X}_{\tilde{K}}$.

Essentially by Poincaré duality, this implies that also $H^i(\tilde{X}_{\tilde{K}}, \mathbb{Z}_{\ell})_{\tilde{\mathfrak{m}}} = 0$ for $i < d$, and that $H^d(\tilde{X}_{\tilde{K}}, \mathbb{Z}_{\ell})_{\tilde{\mathfrak{m}}}$ is ℓ -torsion free. From the realization of X_K in the boundary of $\tilde{X}_{\tilde{K}}$, one gets a long exact sequence

$$\dots \rightarrow H^d(\tilde{X}_{\tilde{K}}, \mathbb{Z}_{\ell})_{\tilde{\mathfrak{m}}} \rightarrow H^d((X_K)', \mathbb{Z}_{\ell})_{\tilde{\mathfrak{m}}} \rightarrow H_c^{d+1}(\tilde{X}_{\tilde{K}}, \mathbb{Z}_{\ell})_{\tilde{\mathfrak{m}}} = 0 \rightarrow \dots,$$

where $(X_K)'$ is a torus bundle over X_K , and the source is ℓ -torsion free. Now the general strategy is to move any cohomology class in $H^i(X_K, \mathbb{Z}_\ell)_m$ to $H^d((X_K)', \mathbb{Z}_\ell)_{\tilde{m}}$ by using the torus bundle to shift cohomological degrees, lift it to $H^d(\tilde{X}_{\tilde{K}}, \mathbb{Z}_\ell)_{\tilde{m}}$, and then use that this group injects into its rationalization, which can be expressed in terms of automorphic forms on \tilde{G} .

Let us comment on the proof of Theorem 5.2. The result works for more general Shimura varieties,³ so let us change the notation. Let $(\text{Sh}_K)_{K \subset G(\mathbb{A}_f)}$ be a Shimura variety corresponding to a reductive group G over \mathbb{Q} together with some extra data, including a conjugacy class of minuscule cocharacters $\mu : \mathbb{G}_m \rightarrow G$, defined over the reflex field E . Fix a prime $p \neq \ell$ so that a certain genericity condition on the fixed maximal ideal of the Hecke algebra holds true at p , and a (sufficiently small) tame level $K^p \subset G(\mathbb{A}_f^p)$. We get (the minimal compactification of) the perfectoid Shimura variety at infinite level,

$$\text{Sh}_{K^p}^* \sim \varprojlim_{K^p} (\text{Sh}_{K^p K^p}^* \otimes E_{\mathfrak{p}})^{\text{rig}},$$

where \mathfrak{p} is a prime of E dividing p . We have the Hodge-Tate period map

$$\pi_{HT} : \text{Sh}_{K^p}^* \rightarrow \mathcal{F}\ell_{G, \mu}.$$

The strategy now is to rewrite $R\Gamma_c(\text{Sh}_{K^p}, \mathbb{Z}_\ell)$ as $R\Gamma(\mathcal{F}\ell_{G, \mu}, R\pi_{HT*}j_!\mathbb{Z}_\ell)$, where $j : \text{Sh}_{K^p} \rightarrow \text{Sh}_{K^p}^*$ is the open immersion. The Hecke operators away from p act trivially on the fag variety, so one can also rewrite

$$R\Gamma_c(\text{Sh}_{K^p}, \mathbb{Z}_\ell)_m = R\Gamma(\mathcal{F}\ell_{G, \mu}, (R\pi_{HT*}j_!\mathbb{Z}_\ell)_m).$$

The task is now to understand the sheaf $(R\pi_{HT*}j_!\mathbb{Z}_\ell)_m$. The first observation is that, with a suitable definition, it lies in ${}^pD^{\leq d}$ for the perverse t -structure; this uses that $R\pi_{HT*}$ is simultaneously affine and partially proper (but still has fibres of positive dimension - a phenomenon only possible in this highly nonnoetherian setup). The other observation is that its fibres are given by the cohomology of Igusa varieties, by using Theorem 4.3. The cohomology of Igusa varieties has been computed by Shin, [Shi10], but only with $\overline{\mathbb{Q}}_\ell$ -coefficients and in the Grothendieck group. Under the genericity condition, one finds that this always gives zero except if the point lies in $\mathcal{F}\ell_{G, \mu}(\mathbb{Q}_p) \subset \mathcal{F}\ell_{G, \mu}$. One can now play off these observations, which gives the conclusion that $(R\pi_{HT*}j_!\mathbb{Z}_\ell)_m$ is concentrated on the 0-dimensional space $\mathcal{F}\ell_{G, \mu}(\mathbb{Q}_p)$. Thus, there is no higher cohomology, and the bound $(R\pi_{HT*}j_!\mathbb{Z}_\ell)_m \in {}^pD^{\leq d}$ implies that $R\Gamma_c(\text{Sh}_{K^p}, \mathbb{Z}_\ell)_m = R\Gamma(\mathcal{F}\ell_{G, \mu}, (R\pi_{HT*}j_!\mathbb{Z}_\ell)_m)$ is in degrees $\leq d$.

One nice aspect of this strategy is that it describes the cohomology of Shimura varieties in terms of the cohomology of certain sheaves on the fag varieties $\mathcal{F}\ell_{G, \mu}$, and it becomes an interesting question to understand those sheaves themselves. This leads to a relation to the geometrization of the local Langlands correspondence conjectured by Fargues, cf. Section 6.

Finally, let us mention that these results have led to the following applications.

Theorem 5.3 ([ACC⁺17]). *Let F be a CM field*

- (1) *For any elliptic curve E over F , the L -function $L(E, s)$ has meromorphic continuation to \mathbb{C} . Moreover, E satisfies the Sato-Tate conjecture.*

³The compact case has appeared in [CS17].

- (2) For any cuspidal automorphic representation π of $\mathrm{GL}_2(\mathbb{A}_F)$ whose archimedean component is of parallel weight 2, the Ramanujan-Petersson conjecture holds true at all places.

Theorem 5.3 is proved by establishing the potential automorphy of E and of all symmetric powers of E resp. π ; here “potential” means after base change to (many) extensions \tilde{F}/F . Thus, the second part follows Langlands’s strategy for establishing the Ramanujan-Petersson conjecture in general, and it is the first time that this conjecture has been proved in a case where the associated motive (or some closely related motive) is not known to exist, and in particular the proof does not invoke Deligne’s theorem on the Weil conjectures.

For the proof of Theorem 5.3, one wants to see that “all” Galois representations arise via Theorem 5.1. This follows Wiles’ strategy [Wil95]; more precisely, we use the variant proposed by Calegari-Geraghty, [CG17].

6 p -ADIC TWISTOR THEORY

Further developments in p -adic geometry arose from the realization that the structures arising from the cohomology of proper smooth rigid-analytic varieties over the algebraically closed p -adic field C can be naturally organized into a modification of vector bundles on the Fargues-Fontaine curve in a way closely resembling a reinterpretation of Hodge theory in terms of vector bundles on the twistor- \mathbb{P}^1 .

Let us first recall the statements over \mathbb{C} . Consider the twistor- \mathbb{P}^1 , i.e. the nonsplit real form $\widetilde{\mathbb{P}}_{\mathbb{R}}^1$ of \mathbb{P}^1 , which we will take to be given as the descent of $\mathbb{P}_{\mathbb{C}}^1$ to \mathbb{R} via $z \mapsto -\frac{1}{\bar{z}}$. We fix the point $\infty \in \widetilde{\mathbb{P}}_{\mathbb{R}}^1(\mathbb{C})$ corresponding to $\{0, \infty\} \subset \mathbb{P}_{\mathbb{C}}^1$. There is an action of the nonsplit real torus $U(1)$ (that we consider as an algebraic group) on $\widetilde{\mathbb{P}}_{\mathbb{R}}^1$ fixing ∞ .

Proposition 6.1 (Simpson, [Sim97, Section 5]). *The category of $U(1)$ -equivariant semistable vector bundles on $\widetilde{\mathbb{P}}_{\mathbb{R}}^1$ is equivalent to the category of pure \mathbb{R} -Hodge structures.*

Let us briefly recall the proof of this result. As the action of the algebraic group $U(1)$ on $\widetilde{\mathbb{P}}_{\mathbb{R}}^1 \setminus \{\infty\}$ is simply transitive (as after base change to \mathbb{C} , it is the simply transitive action of \mathbb{G}_m on itself), $U(1)$ -equivariant vector bundles on $\widetilde{\mathbb{P}}_{\mathbb{R}}^1 \setminus \{\infty\}$ are equivalent to \mathbb{R} -vector spaces V . Thus, given a $U(1)$ -equivariant vector bundle \mathcal{E} on $\widetilde{\mathbb{P}}_{\mathbb{R}}^1$, we get an \mathbb{R} -vector space V such that

$$\mathcal{E}|_{\widetilde{\mathbb{P}}_{\mathbb{R}}^1 \setminus \{\infty\}} \cong V \otimes_{\mathbb{R}} \mathcal{O}_{\widetilde{\mathbb{P}}_{\mathbb{R}}^1 \setminus \{\infty\}}$$

equivariantly for the $U(1)$ -action. Identifying the completion of $\widetilde{\mathbb{P}}_{\mathbb{R}}^1$ at ∞ with $\mathrm{Spf} \mathbb{C}[[t]]$, we get at the completion at ∞ a $U(1)$ -equivariant $\mathbb{C}[[t]]$ -lattice $\Lambda \subset V \otimes_{\mathbb{R}} \mathbb{C}((t))$. However, this is equivalent to a decreasing filtration $\mathrm{Fil}^i V_{\mathbb{C}} \subset V_{\mathbb{C}}$, via the Rees construction

$$\Lambda = \sum_{i \in \mathbb{Z}} t^{-i} (\mathrm{Fil}^i V_{\mathbb{C}})[[t]] \subset V \otimes_{\mathbb{R}} \mathbb{C}((t)).$$

One then checks that \mathcal{E} is semistable precisely when $(V, \mathrm{Fil}^i V_{\mathbb{C}})$ defines a pure Hodge structure.

Thus, a description of the inverse functor is that one starts with a pure \mathbb{R} -Hodge structure $(V, \text{Fil}^i V_C)$ and the trivial vector bundle $\mathcal{E}' = V \otimes_{\mathbb{R}} \mathcal{O}_{\mathbb{P}^1_{\mathbb{R}}}$, and modifies it at ∞ via the lattice Λ defined above to obtain a new vector bundle \mathcal{E} which is still $U(1)$ -equivariant.

A very similar formalism exists in the p -adic case. Associated with the algebraically closed p -adic field C , there is the Fargues-Fontaine curve FF_C , which is a regular noetherian scheme over \mathbb{Q}_p of Krull dimension 1 with a distinguished point $\infty \in \text{FF}_C$. The completed local ring of FF_C at ∞ is Fontaine's period ring B_{dR}^+ , a complete discrete valuation ring with residue field C and fraction field B_{dR} .

Theorem 6.2 (Fargues-Fontaine, [FF17]). *All residue fields of FF_C at closed points are algebraically closed nonarchimedean extensions of \mathbb{Q}_p . Any vector bundle on FF_C is a direct sum of stable vector bundles, and there is a unique stable vector bundle $\mathcal{O}_{\text{FF}_C}(\lambda)$ for every rational slope $\lambda = \frac{s}{r} \in \mathbb{Q}$, which is of rank r and degree s (if r and s are chosen coprime with $r > 0$).*

Note that a similar result holds true for $\mathbb{P}_{\mathbb{R}}^1$, but only half-integral slopes $\lambda \in \frac{1}{2}\mathbb{Z}$ occur in that case.

Given a proper smooth rigid-analytic space X over C , one can form the trivial vector bundle

$$\mathcal{E} = H_{\text{ét}}^i(X, \mathbb{Z}_p) \otimes_{\mathbb{Z}_p} \mathcal{O}_{\text{FF}_C}.$$

Theorem 6.3 ([BMS16, Theorem 13.1, Theorem 13.8]). *There is a functorial B_{dR}^+ -lattice*

$$\Xi = H_{\text{crys}}^i(X/B_{\text{dR}}^+) \subset H_{\text{ét}}^i(X, \mathbb{Z}_p) \otimes_{\mathbb{Z}_p} B_{\text{dR}}.$$

If $X = X_0 \hat{\otimes}_K C$ for some discretely valued subfield $K \subset C$, then $H_{\text{crys}}^i(X/B_{\text{dR}}^+) = H_{\text{dR}}^i(X_0/K) \otimes_K B_{\text{dR}}^+$ and the inclusion comes from the de Rham comparison isomorphism.

Thus, one can form a modification \mathcal{E}' of \mathcal{E} along ∞ . The new vector bundle will in general be related to the (log-)crystalline cohomology of a (log-)smooth formal model. In other words, the comparison isomorphism between étale and crystalline cohomology can be understood in terms of a modification of vector bundles on the Fargues-Fontaine curve.

In fact, contrary to étale cohomology that is ill-behaved for spaces like the unit disc, it should be possible to define this modified vector bundle much more generally, without properness and without using formal models. The following conjecture arose from discussions of the author with Arthur-César le Bras.

Conjecture 6.4 *There is a cohomology theory $H_{\text{FF}_C}^i(X)$ for quasicompact separated smooth rigid spaces X over C taking values in vector bundles on FF_C . If X has an overconvergent model X^\dagger (for example, if X is a noetherian), then the fibre of $H_{\text{FF}_C}^i(X)$ at ∞ is the overconvergent de Rham cohomology $H_{\text{dR}}^i(X^\dagger/C)$ in the sense of Große-Klönne, [GK04], and the completion of $H_{\text{FF}_C}^i(X)$ at ∞ is the overconvergent crystalline cohomology $H_{\text{crys}}^i(X^\dagger/B_{\text{dR}}^+)$ defined following [BMS16, Section 13].*

In other words, not only does de Rham cohomology lift naturally to B_{dR}^+ along the surjection $B_{\text{dR}}^+ \rightarrow C$ as can be explained in terms of a version of crystalline cohomology, but it does actually deform into a vector bundle on all of FF_C .

Now we define for any local Shimura datum (G, b, μ) the local Shimura variety $\mathcal{M}_{(G,b,\mu),K}$ in terms of modifications of G -torsors on the Fargues-Fontaine curve.

Theorem 6.5 (Fargues, [Far17]). *For any reductive group G over \mathbb{Q}_p , there is a natural bijection $b \mapsto \mathcal{E}_b$ between Kottwitz' set $B(G)$ of σ -conjugacy classes and the set of G -torsors on FF_C up to isomorphism. Moreover, $b \in B(G, \mu) \subset B(G)$ if and only if \mathcal{E}_b can be written as a modification at ∞ of type μ of the trivial G -torsor \mathcal{E}_1 .*

The final statement appears in [Rap17]. Now we define

$$\mathcal{M}_{(G,b,\mu),\infty}(C) = \varprojlim_K \mathcal{M}_{(G,b,\mu),K}(C)$$

to be the set of all modifications $\mathcal{E}_1 \dashrightarrow \mathcal{E}_b$ at ∞ of type μ . The group $G(\mathbb{Q}_p)$ is the group of automorphisms of \mathcal{E}_1 , and thus acts on this inverse limit; then for all $K \subset G(\mathbb{Q}_p)$,

$$\mathcal{M}_{(G,b,\mu),K}(C) = \mathcal{M}_{(G,b,\mu),\infty}(C)/K.$$

In order to make $\mathcal{M}_{(G,b,\mu),K}$ into a rigid space, we need to define it as a moduli problem. For the rest of this section, we make extensive use of the theory of perfectoid spaces, and assume that the reader is familiar with it, cf. [Sch14]; some of the structures that appear now will however be motivated in the next section. We use that for any perfectoid space S of characteristic p together with an untilt S^\sharp over \mathbb{Q}_p , one can construct a relative Fargues-Fontaine curve FF_S that is an adic space over \mathbb{Q}_p and comes with a section $\infty : \mathrm{Spa} S^\sharp \rightarrow \mathrm{FF}_S$. Then $\mathcal{M}_{(G,b,\mu),\infty}(S)$ parametrizes modifications $\mathcal{E}_1|_{\mathrm{FF}_S} \dashrightarrow \mathcal{E}_b|_{\mathrm{FF}_S}$ at ∞ of type μ as before. This defines a structure of a diamond, [Sch17b]:

Definition 6.6 *Let Perf be the category of perfectoid spaces of characteristic p . A diamond is a pro-étale sheaf Y on Perf that can be written as a quotient $Y = X/R$ of a perfectoid space X by a pro-étale equivalence relation $R \subset X \times X$.*

An example of a diamond is given by the sheaf $\mathrm{Spd} \mathbb{Q}_p$ that attaches to any perfectoid space S of characteristic p the set of all untilts S^\sharp over \mathbb{Q}_p . More generally, if X is an adic space over \mathbb{Q}_p , one can define a diamond X^\diamond whose S -valued points are given by an untilt S^\sharp over \mathbb{Q}_p together with a map $S^\sharp \rightarrow X$.

Theorem 6.7 ([SW17]). *For any nonarchimedean field L/\mathbb{Q}_p , the functor $X \mapsto X^\diamond$ defines a fully faithful functor from the category of seminormal rigid spaces over L to the category of diamonds over $\mathrm{Spd} L = (\mathrm{Spa} L)^\diamond$.*

The diamond $\mathcal{M}_{(G,b,\mu),K} = \mathcal{M}_{(G,b,\mu),\infty}/\underline{K}$ over $\mathrm{Spd} \check{E}$ is the image of a smooth rigid space over \check{E} under this functor.

The proof makes use of the results of Kedlaya, [Ked10], and Kedlaya-Liu, [KL15], on families of vector bundles on the Fargues-Fontaine curve.

From here, it becomes natural to consider much more general spaces, parametrizing modifications of arbitrary G -bundles at several points with modifications of arbitrary type, not necessarily minuscule. Such spaces live over the base space $\mathrm{Spd} \mathbb{Q}_p \times \dots \times \mathrm{Spd} \mathbb{Q}_p$ parametrizing the points of modification: These products give good meaning to the non-existent products $\mathrm{Spec} \mathbb{Q}_p \times_{\mathrm{Spec} \mathbb{F}_1} \dots \times_{\mathrm{Spec} \mathbb{F}_1} \mathrm{Spec} \mathbb{Q}_p$. The resulting spaces are now in general just diamonds. The purpose of the foundational manuscript [Sch17b] is to develop a solid theory of étale cohomology for diamonds with the aim of using these spaces to obtain a general local Langlands correspondence.

These spaces are naturally organized into Hecke stacks acting on the stack Bun_G of G -bundles on the Fargues-Fontaine curve. Fargues realized that this gives rise to a picture perfectly resembling the geometric Langlands correspondence for classical smooth projective curves, [Far16], [Far18], [FS]. This in particular involves perverse ℓ -adic sheaves on Bun_G whose pullback to the flag varieties $\mathcal{F}l_{G,\mu}$ should arise globally from the construction $R\pi_{HT*}\mathbb{Z}_\ell$ sketched in the previous section.

It is too early to state the expected theorems about the applications to the local Langlands correspondence, but let us mention that recently Kaletha-Weinstein, [KW17], have used the étale cohomology of diamonds as developed in [Sch17b] to prove Kottwitz' conjecture, [Rap95], about the realization of the Jacquet-Langlands correspondence in the cohomology of local Shimura varieties.

Remark 6.8 As the theory of diamonds is critical to the foundations, as is the possibility of defining $\mathrm{Spd}\mathbb{Q}_p \times \mathrm{Spd}\mathbb{Q}_p$, let us quickly give a description of the product $\mathrm{Spd}\mathbb{Q}_p \times \mathrm{Spd}\mathbb{Q}_p$. Consider the open unit disc $\mathbb{D}_{\mathbb{Q}_p} = \{z \mid |z| < 1\}$ as embedded in the multiplicative group via $z \mapsto 1 + z$; then $\mathbb{D}_{\mathbb{Q}_p}$ itself is a group object. Let $\tilde{\mathbb{D}}_{\mathbb{Q}_p}$ be the inverse limit of $\mathbb{D}_{\mathbb{Q}_p}$ along $z \mapsto (1+z)^p - 1$. Then $\tilde{\mathbb{D}}_{\mathbb{Q}_p}$ is (pre)perfectoid, and admits a natural \mathbb{Q}_p -action. Now

$$\mathrm{Spd}\mathbb{Q}_p \times \mathrm{Spd}\mathbb{Q}_p = (\tilde{\mathbb{D}}_{\mathbb{Q}_p} \setminus \{0\})^\diamond / \underline{\mathbb{Z}_p^\times}.$$

On the right-hand side, one factor of $\mathrm{Spd}\mathbb{Q}_p$ arises from the structure map $\tilde{\mathbb{D}}_{\mathbb{Q}_p} \rightarrow \mathrm{Spa}\mathbb{Q}_p$, but the other factor gets realized in terms of the perfectoid punctured unit disc, and thus has become geometric.

These constructions in fact lead to a description of the absolute Galois group of \mathbb{Q}_p as a geometric fundamental group:

Theorem 6.9 ([Wei17]). *For any algebraically closed nonarchimedean field C/\mathbb{Q}_p , the étale fundamental group of $(\tilde{\mathbb{D}}_C \setminus \{0\})/\underline{\mathbb{Q}_p^\times}$ agrees with the absolute Galois group of \mathbb{Q}_p .*

A search for a hypothetical space $\mathrm{Spec}\mathbb{Z} \times \mathrm{Spec}\mathbb{Z}$ thus seems closely related to a realization of the absolute Galois group of \mathbb{Q} as a geometric fundamental group. For a step in this direction, let us mention the following result, which uses the ring of rational Witt vectors $W_{\mathrm{rat}}(R) \subset W_{\mathrm{big}}(R)$. For all $r \in R$, there is the Teichmüller lift $[r] \in W_{\mathrm{rat}}(R)$.

Theorem 6.10 ([KS16]). *Let L be a field of characteristic 0 that contains all roots of unity, and fix an embedding $\mathbb{Q}/\mathbb{Z} \hookrightarrow L^\times$, $1/n \mapsto \zeta_n$. Then the category of finite extensions of L is equivalent to the category of connected finite coverings of the topological space*

$$X(L) \subset (\mathrm{Spec} W_{\mathrm{rat}}(L))(\mathbb{C})$$

that is the connected component singled out by the condition that $[\zeta_n]$ maps to $e^{2\pi i/n} \in \mathbb{C}$ for all $n \geq 1$.

In fact, $X(L)$ has a deformation retract to a compact Hausdorff space, which gives a realization of the absolute Galois group of L as the profinite fundamental group of a compact Hausdorff space! This formally implies that the absolute Galois group of L is torsion-free, cf. [KS16, Proposition 7.10].

The space $X(L)$ gives rise to certain non-profinately complete structures on natural arithmetic invariants. If L is the cyclotomic extension of \mathbb{Q} , one can show

that the actual fundamental group of $X(L)$ given by topological loops is a proper dense subgroup of the absolute Galois group. Moreover, it acts naturally on the set $\log \overline{\mathbb{Q}} \subset \mathbb{C}$ of logarithms of algebraic numbers, compatibly with $\exp : \log \overline{\mathbb{Q}} \rightarrow \overline{\mathbb{Q}}^\times$. Similarly, for general L as in the theorem, the each cohomology groups $H^i(X(L), \mathbb{Z})$ give certain torsion-free non-profanitely completed abelian groups with

$$H^i(X(L), \mathbb{Z})/n = H^i(\text{Gal}(\overline{L}/L), \mathbb{Z}/n\mathbb{Z})$$

for all $n \geq 1$, cf. [KS16, Theorem 1.8].

7. p -ADIC SHTUKAS

Going further, one can reinterpret the linear-algebraic structures that appeared in the last section in terms of a p -adic analogue of shtukas. Let us first recall the basic definition of a shtuka from the function field case. There is a version of the following definitions for any reductive group G obtained by replacing vector bundles by G -torsors.

Definition 7.1. *Let X be a smooth projective curve over \mathbb{F}_p and let S be a scheme over \mathbb{F}_p . A shtuka over S relative to X with legs at $x_1, \dots, x_n : S \rightarrow X$ is a vector bundle \mathcal{E} over $S \times_{\mathbb{F}_p} X$ together with an isomorphism*

$$(\text{Frob}_S^* \mathcal{E})|_{S \times_{\mathbb{F}_p} X \setminus \bigcup_{i=1}^n \Gamma_{x_i}} \cong \mathcal{E}|_{S \times_{\mathbb{F}_p} X \setminus \bigcup_{i=1}^n \Gamma_{x_i}},$$

where $\Gamma_{x_i} : S \hookrightarrow S \times_{\mathbb{F}_p} X$ is the graph of x_i .

Drinfeld used moduli spaces of shtukas with two legs to prove the global Langlands correspondence for GL_2 , [Dri80]. This was generalized to GL_n , still using moduli spaces with two legs, by L. Laforgue, [Laf02]. Recently, V. Laforgue has used all moduli spaces with an arbitrary number of legs simultaneously to prove the automorphic to Galois direction of the global Langlands correspondence for any reductive group G , [Laf12].

There is a corresponding notion of local shtuka, where one works with the local curve $X = \text{Spf } \mathbb{F}_p[[t]]$. The legs are now parametrized by maps $x_1, \dots, x_n : S \rightarrow X = \text{Spf } \mathbb{F}_p[[t]]$, i.e. locally nilpotent elements $t_i \in \mathcal{O}_S(S)$. As this contains no topological information, we pass to the world of rigid geometry. For simplicity, we discuss the case of geometric points, and so assume that $S = \text{Spa } C$ for some complete algebraically closed nonarchimedean field C of characteristic p ; we will later allow more general base spaces again. The legs are maps $S \rightarrow X$, which are parametrized by topologically nilpotent elements $t_i \in C$, i.e. $|t_i| < 1$. The fibre product $S \times_{\mathbb{F}_p} X$ becomes the open unit ball $\mathbb{D}_C = \{t \in C \mid |t| < 1\}$, and the legs give rise to points $x_i \in \mathbb{D}_C$. There is a Frobenius Frob_C acting on \mathbb{D}_C , coming from the Frobenius on C . This is not a map of rigid spaces over C , but it does exist in the category of adic spaces.

Definition 7.2. *A (local) shtuka over $S = \text{Spa } C$ relative to $X = \text{Spf } \mathbb{F}_p[[t]]$ with legs at $x_1, \dots, x_n : S \rightarrow X$ given by elements $t_i \in C$, $|t_i| < 1$, is a vector bundle \mathcal{E} over $\mathbb{D}_C = S \times_{\mathbb{F}_p} X$ together with an isomorphism*

$$(\text{Frob}_C^* \mathcal{E})|_{\mathbb{D}_C \setminus \{t_1, \dots, t_n\}} \cong \mathcal{E}|_{\mathbb{D}_C \setminus \{t_1, \dots, t_n\}}$$

that is meromorphic along the t_i .

The main observation of [SW17] is that it is possible to give a mixed-characteristic version of this definition, where $X = \mathrm{Spf} \mathbb{Z}_p$. As a geometric point, one still takes $S = \mathrm{Spa} C$ where C is a complete algebraically closed nonarchimedean field of characteristic p . Naively, there are now no interesting maps $S = \mathrm{Spa} C \rightarrow X = \mathrm{Spf} \mathbb{Z}_p$; there is exactly one, which factors over $\mathrm{Spec} \mathbb{F}_p$. We will see momentarily how to solve this problem. But let us first face the other problem of defining a reasonable fibre product “ $S \times X$ ”, where the product should be over $\mathrm{Spec} \mathbb{F}_p$. The basic insight is that for any perfect ring R , “ $\mathrm{Spec} R \times \mathrm{Spf} \mathbb{Z}_p$ ” should be given by $\mathrm{Spf} W(R)$ with the p -adic topology on $W(R)$. If R is itself an adic ring with the I -adic topology, then “ $\mathrm{Spf} R \times \mathrm{Spf} \mathbb{Z}_p = \mathrm{Spf} W(R)$ ”, where $W(R)$ is equipped with the $(p, [I])$ -adic topology.

In particular, we should set “ $\mathrm{Spf} \mathcal{O}_C \times \mathrm{Spf} \mathbb{Z}_p = \mathrm{Spf}(W(\mathcal{O}_C))$ ”. The ring $W(\mathcal{O}_C)$ plays an important role in p -adic Hodge theory, and is traditionally called A_{inf} there. If $\varpi \in C$ is a topologically nilpotent unit, then passing from $\mathrm{Spf} \mathcal{O}_C$ to its generic fibre $\mathrm{Spa} C$ amounts to inverting ϖ . Thus, we define the open subspace

$$\text{“Spa } C \times \mathrm{Spa} \mathbb{Z}_p \text{”} = \{[\varpi] \neq 0\} \subset \mathrm{Spa}(W(\mathcal{O}_C)) ,$$

which is a well-defined adic space. The following proposition shows that this behaves very much like a classical smooth rigid curve, except that it does not live over any base field.

Proposition 7.3 ([FF17], [Ked16]). *For any connected open adic noetherian subspace*

$$U = \mathrm{Spa}(R, R^+) \subset \text{“Spa } C \times \mathrm{Spa} \mathbb{Z}_p \text{”} ,$$

the ring R is a principal ideal domain. For any maximal ideal $\mathfrak{m} \subset R$, the quotient $C_{\mathfrak{m}} = R/\mathfrak{m}$ is a complete algebraically closed nonarchimedean field. Moreover, there is a canonical isomorphism

$$\varprojlim_{x \mapsto x^p} \mathcal{O}_{C_{\mathfrak{m}}}/p \cong \mathcal{O}_C ,$$

i.e. $C \cong C_{\mathfrak{m}}^{\flat}$ is the tilt of $C_{\mathfrak{m}}$ in the terminology of [Sch12]. Conversely, for any complete algebraically closed nonarchimedean field C^{\sharp} with an isomorphism $(C^{\sharp})^{\flat} \cong C$, there is a unique maximal ideal $\mathfrak{m} \subset R$ (for any large enough open subset U) such that $C^{\sharp} = R/\mathfrak{m}$, compatibly with the identification of $(C^{\sharp})^{\flat}$ with C .

In other words, “classical points” of “ $\mathrm{Spa} C \times \mathrm{Spa} \mathbb{Z}_p$ ” parametrize untilts of C . This shows that we should reinterpret the data of the maps $x_i : S = \mathrm{Spa} C \rightarrow X = \mathrm{Spa} \mathbb{Z}_p$ as the data of untilts $C_1^{\sharp}, \dots, C_n^{\sharp}$ of C .

Definition 7.4. *A (local/ p -adic) shtuka over $S = \mathrm{Spa} C$ relative to $X = \mathrm{Spf} \mathbb{Z}_p$ with legs at x_1, \dots, x_n given by untilts $C_1^{\sharp}, \dots, C_n^{\sharp}$ of C , is a vector bundle \mathcal{E} over “ $\mathrm{Spa} C \times \mathrm{Spa} \mathbb{Z}_p$ ” together with an isomorphism*

$$(\mathrm{Frob}_C^* \mathcal{E})|_{\text{“Spa } C \times \mathrm{Spa} \mathbb{Z}_p \setminus \{x_1, \dots, x_n\}} \cong \mathcal{E}|_{\text{“Spa } C \times \mathrm{Spa} \mathbb{Z}_p \setminus \{x_1, \dots, x_n\}}$$

that is meromorphic along the x_i .

In the case of one leg, these structures are closely related to the structures that appeared in the last section, by the following theorem of Fargues.

Theorem 7.5 (Fargues, [SW17]). *Assume that C^{\sharp} is an untilt over \mathbb{Q}_p , let $\infty : \mathrm{Spec} C^{\sharp} \rightarrow \mathrm{FF}_C$ be the corresponding point of the Fargues-Fontaine curve,⁴ and*

⁴The Fargues-Fontaine curve depends only on the tilt of C^{\sharp} , but the point ∞ depends on C^{\sharp} .

let $B_{\text{dR}}^+ = B_{\text{dR}}^+(C^\sharp)$ be the complete local ring at ∞ with quotient field B_{dR} . The following categories are equivalent.

- (i) Shtukas over $S = \text{Spa } C$ relative to $X = \text{Spf } \mathbb{Z}_p$ with one leg at C^\sharp .
- (ii) Pairs (T, Ξ) , where T is a finite free \mathbb{Z}_p -module and $\Xi \subset T \otimes_{\mathbb{Z}_p} B_{\text{dR}}$ is a B_{dR}^+ -lattice.
- (iii) Quadruples $(\mathcal{F}_1, \mathcal{F}_2, \beta, T)$, where \mathcal{F}_1 and \mathcal{F}_2 are two vector bundles on the Fargues-Fontaine curve FF_C , $\beta : \mathcal{F}_1|_{\text{FF}_C \setminus \{\infty\}} \cong \mathcal{F}_2|_{\text{FF}_C \setminus \{\infty\}}$ is an isomorphism, and T is a finite free \mathbb{Z}_p -module such that $\mathcal{F}_1 = T \otimes_{\mathbb{Z}_p} \mathcal{O}_{\text{FF}_C}$ is the corresponding trivial vector bundle.
- (iv) Breuil-Kisin-Fargues modules, i.e. finite free $A_{\text{inf}} = W(\mathcal{O}_C)$ -modules M together with a φ -linear isomorphism $M[\frac{1}{\varphi^{-1}(\xi)}] \cong M[\frac{1}{\xi}]$, where $\xi \in A_{\text{inf}}$ is a generator of $\ker(A_{\text{inf}} \rightarrow \mathcal{O}_{C^\sharp})$.

Note that local Shimura varieties are parametrizing data of type (iii). The equivalence with (i) shows that one can regard local Shimura varieties as moduli spaces of shtukas, and it gives a possibility of formulating an integral model for the local Shimura varieties that we will now discuss.

In [SW17], we construct moduli spaces of shtukas with any number of legs. However, already the case of one leg has important applications, so let us focus on this case. In addition to the group G over \mathbb{Q}_p , we need to fix a model \mathcal{G} of G over \mathbb{Z}_p , and the most important case is when \mathcal{G} is parahoric. To define the moduli problem, we need to bound the modification at the leg; this leads to a version of the affine Grassmannian. Here, $\text{Spd } \mathbb{Z}_p$ denotes the functor on Perf taking any perfectoid space S of characteristic p to the set of untilts S^\sharp over \mathbb{Z}_p . If $S = \text{Spa}(R, R^+)$ is affine, then $S^\sharp = \text{Spa}(R^\sharp, R^{\sharp+})$, and there is a natural ring $B_{\text{dR}}^+(R^\sharp)$ that surjects onto R^\sharp with kernel generated by some nonzerodivisor $\xi \in B_{\text{dR}}^+(R^\sharp)$ such that $B_{\text{dR}}^+(R^\sharp)$ is ξ -adically complete. This interpolates between the following cases:

- (1) If $R^\sharp = C^\sharp$ is an untilt over \mathbb{Q}_p of an algebraically closed nonarchimedean field $R = C$ of characteristic p , then $B_{\text{dR}}^+(C^\sharp)$ is Fontaine's ring considered previously.
- (2) If $R^\sharp = R$ is of characteristic p , then $B_{\text{dR}}^+(R^\sharp) = W(R)$ is the ring of p -typical Witt vectors.

Definition 7.6. Let \mathcal{G} be a parahoric group scheme over \mathbb{Z}_p . The Beilinson-Drinfeld Grassmannian

$$\text{Gr}_{\mathcal{G}, \text{Spd } \mathbb{Z}_p} \rightarrow \text{Spd } \mathbb{Z}_p$$

is the moduli problem on Perf taking an affine perfectoid space $S = \text{Spa}(R, R^+)$ of characteristic p to the set of untilts $S^\sharp = \text{Spa}(R^\sharp, R^{\sharp+})$ over \mathbb{Z}_p together with a \mathcal{G} -torsor over $B_{\text{dR}}^+(R^\sharp)$ that is trivialized over $B_{\text{dR}}(R^\sharp)$.

In the following we make use of the following proposition to identify perfect schemes or formal schemes as certain pro-étale sheaves. In both cases, one can define a functor $X \mapsto X^\diamond$, where X^\diamond parametrizes untilts over X .

Proposition 7.7. The functor $X \mapsto X^\diamond$ defines a fully faithful functor from the category of perfect schemes to the category of pro-étale sheaves on Perf . Similarly, for any nonarchimedean field L over \mathbb{Q}_p , the functor $X \mapsto X^\diamond$ from normal and flat formal schemes locally formally of finite type over $\text{Spf } \mathcal{O}_L$ to pro-étale sheaves over $\text{Spd } \mathcal{O}_L$ is fully faithful.

The proof of the second part relies on a result of Lourenço, [Lou17], that recovers formal schemes as in the proposition from their generic fibre and the perfection of their special fibre, together with the specialization map.

Theorem 7.8 ([Zhu17], [BS17], [SW17]). *The special fibre of $\mathrm{Gr}_{\mathcal{G}, \mathrm{Spd} \mathbb{Z}_p}$ is given by the Witt vector affine Grassmannian $\mathrm{Gr}_{\mathcal{G}}^{\mathrm{W}}$ constructed in [Zhu17] and [BS17] that can be written as an increasing union of perfections of projective varieties along closed immersions. The generic fibre of $\mathrm{Gr}_{\mathcal{G}, \mathrm{Spd} \mathbb{Z}_p}$ is the B_{dR}^+ -affine Grassmannian of G , and can be written as an increasing union of proper diamonds along closed immersions.*

For a conjugacy class of minuscule cocharacters μ defined over \bar{E} , there is a natural closed immersion

$$\mathcal{F}_{G, \mu}^{\diamond} \hookrightarrow \mathrm{Gr}_{\mathcal{G}, \mathrm{Spd} \mathbb{Z}_p} \times_{\mathrm{Spd} \mathbb{Z}_p} \mathrm{Spd} E .$$

We let

$$\mathbb{M}_{(\mathcal{G}, \mu)}^{\mathrm{loc}} \subset \mathrm{Gr}_{\mathcal{G}, \mathrm{Spd} \mathbb{Z}_p} \times_{\mathrm{Spd} \mathbb{Z}_p} \mathrm{Spd} \mathcal{O}_E$$

be the closure of $\mathcal{F}_{G, \mu}^{\diamond}$. We conjecture that it comes from a normal and flat projective scheme over $\mathrm{Spec} \mathcal{O}_E$ under Proposition 7.7. This would give a group-theoretic definition of the local model in the theory of Shimura varieties, cf. e.g. [Pap18].

Definition 7.9. *Given local Shimura data (G, b, μ) and a parahoric model \mathcal{G} , let*

$$\mathcal{M}_{(\mathcal{G}, b, \mu)}^{\mathrm{int}} \rightarrow \mathrm{Spd} \check{\mathcal{O}}_E$$

be the moduli problem taking $S \in \mathrm{Perf}$ to the set of untilts S^{\sharp} over $\check{\mathcal{O}}_E$ together with a \mathcal{G} -shtuka over “ $S \times \mathrm{Spa} \mathbb{Z}_p$ ” with one leg at S^{\sharp} that is bounded by $\mathbb{M}_{(\mathcal{G}, \mu)}^{\mathrm{loc}}$, and a trivialization of the shtuka at the boundary of “ $S \times \mathrm{Spa} \mathbb{Z}_p$ ” by \mathcal{E}_b .

For a precise formulation of the following result, we refer to [SW17].

Theorem 7.10 ([SW17]). *This definition recovers Rapoport-Zink spaces.*

Using this group-theoretic characterization of Rapoport-Zink spaces, we can obtain new isomorphisms between different Rapoport-Zink spaces.

Corollary 7.11. *The conjectures of Rapoport-Zink [RZ17], and Kudla-Rapoport-Zink [KRZ], on alternative descriptions of the Drinfeld moduli problem hold true.*

In particular, by [KRZ], one gets an integral version and moduli-theoretic proof of Serre’s p -adic uniformization theorem, [Ser76].

To prove Theorem 7.10, one needs to see that p -adic shtukas are related to the cohomology of algebraic varieties or p -divisible groups. This is the subject of integral p -adic Hodge theory that we will discuss next.

8 INTEGRAL p -ADIC HODGE THEORY

The following question arises naturally from Theorem 7.5. As it is more natural in this section, let us change notation, and start with an algebraically closed p -adic field C and consider shtukas over its tilt C^b with one leg at the untilt C of C^b . Given a proper smooth rigid-analytic space X over C , consider $T = H_{\mathrm{ét}}^i(X, \mathbb{Z}_p) / (\text{torsion})$ with the finite free B_{dR}^+ -module $\Xi = H_{\mathrm{crys}}^i(X/B_{\mathrm{dR}}^+) \subset T \otimes_{\mathbb{Z}_p} B_{\mathrm{dR}}$ from Theorem 6.3. By Theorem 7.5, there is a corresponding Breuil-Kisin-Fargues module $H_{\mathrm{Ainf}}^i(X)$; we normalize it here so that the Frobenius is an isomorphism after inverting ξ resp. $\varphi(\xi)$. Can one give a direct cohomological construction of this?

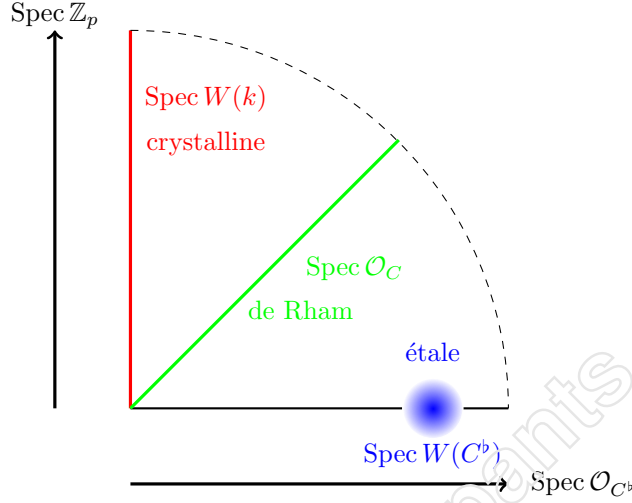


FIGURE 1. A picture of some parts of $\text{Spec } A_{\text{inf}} = \text{Spec } \mathcal{O}_{C^b} \times \text{Spec } \mathbb{Z}_p$.

We expect that without further input, the answer is no; in fact, Fargues’s equivalence is not exact, and does manifestly not pass to the derived category. However, in joint work with Bhatt and Morrow, [BMS16], we show that it is possible once a proper smooth formal model \mathfrak{X} of X is given.⁵

Theorem 8.1 ([BMS16]). *Let \mathfrak{X} be a proper smooth formal scheme over $\text{Spf } \mathcal{O}_C$ with generic fibre X . There is a perfect complex $R\Gamma_{A_{\text{inf}}}(\mathfrak{X})$ of A_{inf} -modules together with a φ -linear map $\varphi : R\Gamma_{A_{\text{inf}}}(\mathfrak{X}) \rightarrow R\Gamma_{A_{\text{inf}}}(\mathfrak{X})$ that becomes an isomorphism after inverting ξ resp. $\varphi(\xi)$. Each $H_{A_{\text{inf}}}^i(\mathfrak{X})$ is a finitely presented A_{inf} -module that becomes free over $A_{\text{inf}}[\frac{1}{p}]$ after inverting p . Moreover, one has the following comparison results.*

- (i) *Crystalline comparison:* $R\Gamma_{A_{\text{inf}}}(\mathfrak{X}) \otimes_{A_{\text{inf}}}^{\mathbb{L}} W(k) \cong R\Gamma_{\text{crys}}(\mathfrak{X}_k/W(k))$, φ -equivariantly, where k is the residue field of \mathcal{O}_C .
- (ii) *De Rham comparison:* $R\Gamma_{A_{\text{inf}}}(\mathfrak{X}) \otimes_{A_{\text{inf}}}^{\mathbb{L}} \mathcal{O}_C \cong R\Gamma_{\text{dR}}(\mathfrak{X}/\mathcal{O}_C)$.
- (iii) *Étale comparison:* $R\Gamma_{A_{\text{inf}}}(\mathfrak{X}) \otimes_{A_{\text{inf}}} W(C^b) \cong R\Gamma_{\text{ét}}(X, \mathbb{Z}_p) \otimes_{\mathbb{Z}_p} W(C^b)$, φ -equivariantly.

Moreover, if $H_{\text{crys}}^i(\mathfrak{X}_k/W(k))$ is p -torsion free⁶, then $H_{A_{\text{inf}}}^i(\mathfrak{X})$ is finite free over A_{inf} , and agrees with $H_{A_{\text{inf}}}^i(X)$ as defined above.⁷

The theorem implies a similar result for p -divisible groups, which is the key input into the proof of Theorem 7.10. On the other hand, the theorem has direct consequences for the behaviour of torsion under specialization from characteristic 0 to characteristic p .

⁵All of these results were recently extended to the case of semistable reduction by Esnavi and Koshikawa, [CK17].

⁶Equivalently, $H_{\text{dR}}^i(\mathfrak{X}/\mathcal{O}_C)$ is p -torsion free, cf. [BMS16, Remark 14.4].

⁷In that situation, part (iii) implies that also $H_{\text{ét}}^i(X, \mathbb{Z}_p)$ is p -torsion free.

Corollary 8.2 *For any $i \geq 0$, one has $\dim_k H_{\text{dR}}^i(\mathfrak{X}_k/k) \geq \dim_{\mathbb{F}_p} H_{\text{ét}}^i(X, \mathbb{F}_p)$, and*

$$\text{length}_{W(k)} H_{\text{crys}}^i(\mathfrak{X}_k/W(k))_{\text{tor}} \geq \text{length}_{\mathbb{Z}_p} H_{\text{ét}}^i(X, \mathbb{Z}_p)_{\text{tor}} .$$

For example, for an Enriques surface X over C , so that it has a double cover by a K3 surface, there is 2-torsion in the second étale cohomology group. By the theorem, this implies that for all Enriques surfaces in characteristic 2 (all of which deform to characteristic 0), one has 2

9. SHTUKAS FOR Spec \mathbb{Z}

Roughly, the upshot of the A_{inf} -cohomology theory is that the “universal” p -adic cohomology theory is given by a shtuka relative to $\text{Spf } \mathbb{Z}_p$. Currently, the author is trying to understand to what extent it might be true that the “universal” cohomology theory is given by a shtuka relative to $\text{Spec } \mathbb{Z}$. It seems that this is a very fruitful philosophy.

Let us phrase the question more precisely. Given a proper smooth scheme X over a base scheme S , what is “the” cohomology of X ? Experience in arithmetic and algebraic geometry shows that there is no simple answer, and that in fact there are many cohomology theories: singular, de Rham, étale, crystalline, etc.⁹ It helps to organize these cohomology theories according to two parameters: First, they may only be defined for certain (geometric) points $s \in S$, and their coefficients may not be \mathbb{Z} but only $\mathbb{Z}/n\mathbb{Z}$ or \mathbb{Z}_ℓ .

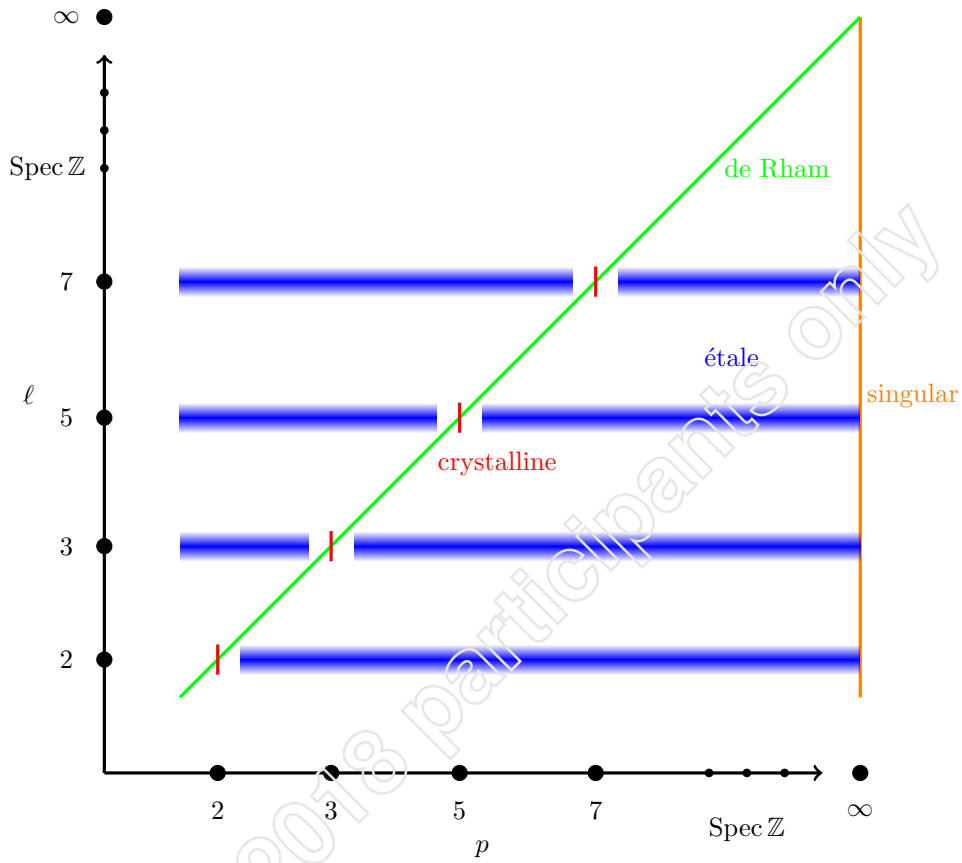


FIGURE 2. A picture of known cohomology theories.

If we zoom in near a point (p, p) in this picture, we arrive at a picture that looks exactly like Figure 1 depicting the A_{inf} -cohomology theory! And indeed, one can use the A_{inf} -cohomology theory to fill in this part of the picture.

The picture bears a remarkable similarity with the following equal-characteristic structure.

Definition 9.1 (Anderson, Goss, [And86], [Gos96]). *For a scheme $S = \text{Spec } R$ over $\text{Spec } \mathbb{F}_p[T]$ sending T to $t \in R$, a t -motive is a finite projective $R[T]$ -module M together with an isomorphism*

$$\varphi_M : \text{Frob}_R^* M[\frac{1}{t-T}] \cong M[\frac{1}{t-T}] .$$

Let us briefly discuss the similar specializations.

- (i) If $R = K$ is an algebraically closed field mapping to the infinite point of $\text{Spec } \mathbb{F}_p[T]$ (which, strictly speaking is not allowed – but we can compactify

$\text{Spec } \mathbb{F}_p[T]$ into $\mathbb{P}_{\mathbb{F}_p}^1$) we get a vector bundle on \mathbb{P}_K^1 together with an isomorphism with its Frobenius pullback.¹¹ These are equivalent to vector bundles on $\mathbb{P}_{\mathbb{F}_p}^1$, and by restricting back to $\text{Spec } \mathbb{F}_p[T]$, we get an $\mathbb{F}_p[T]$ -module.

- (ii) Restricting along $R[T] \rightarrow R$ sending T to t we get a finite projective R -module. Moreover, φ_M gives rise to a $R[[t-T]]$ -lattice in $M \otimes_{R[T]} R((t-T))$, which gives rise to a filtration of $M \otimes_{R[T]} R$ similarly to the discussion in the case of B_{dR}^+ -lattices or $\mathbb{C}[[t]]$ -lattices in Section 6.¹²
- (iii) Restricting modulo a power of T (or $T - a$, $a \in \mathbb{F}_p$), the pair

$$(M_n, \varphi_{M_n}) = (M \otimes_{R[T]} R[t^{-1}, T]/T^n, \varphi_M)$$

is equivalent to an étale $\mathbb{F}_p[T]/T^n$ -local system on $\text{Spec } R[t^{-1}]$ by taking the étale sheaf $M_n^{\varphi_{M_n} = 1}$.

- (iv) Restricting to the fibre $t = 0$ (or $t = a$, $a \in \mathbb{F}_p$) and taking the T -adic completion, $M \otimes_{R[T]} (R/t)[[T]]$ with φ_M defines a structure resembling crystalline cohomology.
- (v) Similarly, by the analogy between local shtukas and local p -adic shtukas, the picture near $(t, T) = (0, 0)$ resembles the picture of the A_{inf} -cohomology theory.

An interesting question is what happens in vertical fibres, i.e. for $S = \text{Spec } \overline{\mathbb{F}}_p$. In the function field case, we get generically the following structure.

Definition 9.2. A (generic) shtuka over $\text{Spec } \overline{\mathbb{F}}_p$ relative to $\text{Spec } \mathbb{F}_p(T)$ is a finite-dimensional $\overline{\mathbb{F}}_p(T)$ -vector space V together with a $\text{Frob}_{\overline{\mathbb{F}}_p}$ -semilinear isomorphism $\varphi_V : V \cong V$.

Amazingly, Kottwitz [Kot14] was able to define an analogue of this category for number fields.

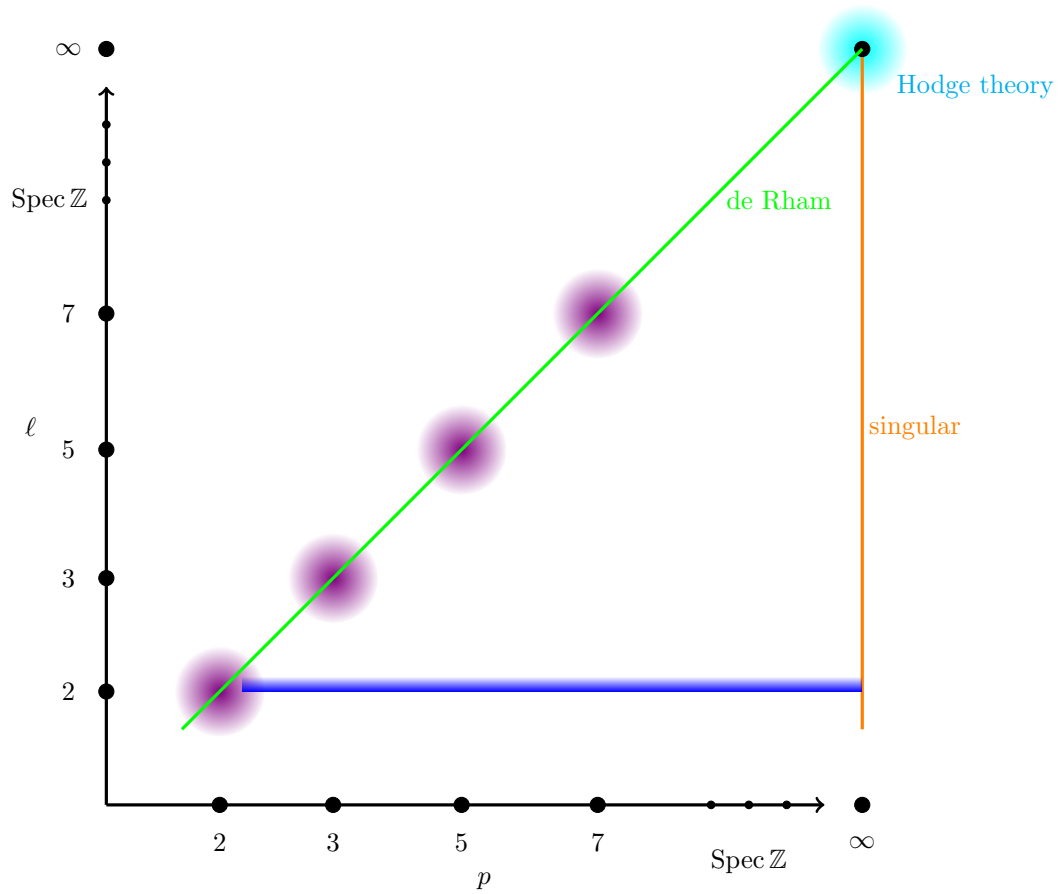
Construction 9.3 (Kottwitz). For any local or global field F , there is an F -linear \otimes -category Kt_F , constructed as the category of representations of a gerbe banded by an explicit (pro-)torus which is constructed using (local or global) class field theory. Moreover, one has the following identifications.

- (i) If $F = \mathbb{F}_p((T))$, then Kt_F is the category of finite-dimensional $\overline{\mathbb{F}}_p((T))$ -vector spaces V with a semilinear isomorphism $V \cong V$.
- (ii) If $F = \mathbb{F}_p(T)$, then Kt_F is the category of finite-dimensional $\overline{\mathbb{F}}_p(T)$ -vector spaces V with a semilinear isomorphism $V \cong V$.
- (iii) If $F = \mathbb{Q}_p$, then Kt_F is the category of finite-dimensional $W(\overline{\mathbb{F}}_p)[\frac{1}{p}]$ -vector spaces V with a semilinear isomorphism $V \cong V$.
- (iv) If $F = \mathbb{R}$, then Kt_F is the category of finite-dimensional \mathbb{C} -vector spaces V together with a grading $V = \bigoplus_{i \in \mathbb{Z}} V_i$ and a graded antiholomorphic isomorphism $\alpha : V \cong V$ such that $\alpha^2 = (-1)^i$ on V_i .

Remark 9.4. In the local cases (i) and (iii), one can replace $\overline{\mathbb{F}}_p$ with any algebraically closed field of characteristic p without changing the category. However, in the global case (ii), the equivalence holds only for $\overline{\mathbb{F}}_p$; for this reason, we fix this choice.

¹¹One might think that one has to allow a pole at ∞ , but the analogy seems to suggest that it is in fact not there.

¹²A subtle point is that $M \otimes_{R[T], T \rightarrow t} R$ is the analogue of Hodge(-Tate) cohomology, and de Rham cohomology corresponds to the specialization $M \otimes_{R[T], T \rightarrow t^p} R$.



inspection that there is a surjective map from Kottwitz' gerbe (which is independent of p !) to Langlands-Rapoport's gerbe. In particular, $\text{Kt}_{\mathbb{Q}}$ conjecturally contains the category of motives over $\overline{\mathbb{F}}_p$ as a full subcategory, for all p .

It may be interesting to note how Serre's objection to a 2-dimensional \mathbb{R} -cohomology theory is overcome by the \mathbb{R} -linear cohomology theory $H_{\text{Kt}_{\mathbb{R}}}^i$, in an essentially minimal way: For a supersingular elliptic curve $E/\overline{\mathbb{F}}_p$, its associated $H_{\text{Kt}_{\mathbb{R}}}^1(E)$ will be given by a 2-dimensional \mathbb{C} -vector space $V = V_1$ together with an antiholomorphic isomorphism $\alpha : V \cong V$ such that $\alpha^2 = -1$, so α does not give rise to a real structure; instead, it gives rise to a quaternionic structure. But the endomorphism algebra of E , which is a quaternion algebra over \mathbb{Q} that is nonsplit over \mathbb{R} , can of course act on the Hamilton quaternions!

It is also interesting to note that there are functors from the category of isocrystals $\text{Kt}_{\mathbb{Q}_p}$ to the category of vector bundles on the Fargues-Fontaine curve, and similarly from the category $\text{Kt}_{\mathbb{R}}$ to the category of vector bundles on the twistor- \mathbb{P}^1 , which in both cases induce a bijection on isomorphism classes. This gives another strong indication of the parallel between the Fargues-Fontaine curve and the twistor- \mathbb{P}^1 , and how Kottwitz' categories play naturally into them.

In particular, one can also draw a horizontal line at $\ell = \infty$, where for all $s \in S$ one gets a vector bundle on the twistor- \mathbb{P}^1 (via the previous conjecture in finite characteristic, and via the twistor interpretation of Hodge theory in characteristic 0). In other words, the complex variation of twistor structures must, in a suitable sense, be defined over the scheme S (which is a general scheme over $\text{Spec } \mathbb{Z}$, not necessarily over \mathbb{C}).

10 q-DE RHAM COHOMOLOGY

One may wonder what the completion of " $\text{Spec } \mathbb{Z} \times \text{Spec } \mathbb{Z}$ " along the diagonal looks like; in the picture of cohomology theories, this should combine p -adic Hodge theory for all primes p with usual Hodge theory. One proposal for how this might happen was made in [Sch17a]. This paper builds on the following observation on the A_{inf} -cohomology theory. Namely, one can write down explicit complexes computing this cohomology on affinoides. For example, if $R = \mathcal{O}_C\langle T \rangle$ is the p -adic completion of $\mathcal{O}_C[T]$, then one looks at the following complex:

$$A_{\text{inf}}\langle T \rangle \xrightarrow{\nabla_q} A_{\text{inf}}\langle T \rangle : T^n \mapsto \nabla_q(T^n) = [n]_q T^{n-1},$$

where $[n]_q = 1 + q + \dots + q^{n-1} = \frac{q^n - 1}{q - 1}$ is Gauss's q -integer, and $q = [\epsilon] \in A_{\text{inf}}$ is a certain element of A_{inf} . This is precisely the q -de Rham complex studied by Aomoto, [Aom90]; it sends a general function $f(T)$ to the Jackson q -derivative

$$(\nabla_q f)(T) = \frac{f(qT) - f(T)}{qT - T}.$$

Unfortunately, the q -de Rham complex depends heavily on the choice of coordinates, and it is not clear how to see the independence of the A_{inf} -cohomology from the choice of coordinates using this picture.

However, in recent work with Bhatt, [BS], we were able to prove the following theorem, proving a conjecture of [Sch17a].

Theorem 10.1 ([BS]). *There is a $\mathbb{Z}[[q - 1]]$ -linear q -de Rham cohomology theory for smooth schemes over $\text{Spec } \mathbb{Z}$ that for any smooth \mathbb{Z} -algebra R with a choice of an*

étale map $\mathbb{Z}[T_1, \dots, T_n] \rightarrow R$ is computed by a q -deformation $q\Omega_R^\bullet$ of the de Rham complex Ω_R^\bullet . For example,

$$q\Omega_{\mathbb{Z}[T]}^\bullet = \mathbb{Z}[T][[q-1]] \xrightarrow{\nabla_q} \mathbb{Z}[T][[q-1]] : T^n \mapsto \nabla_q(T^n) = [n]_q T^{n-1}.$$

After base change along $\mathbb{Z}[[q-1]] \rightarrow A_{\text{inf}}$ via $q \mapsto [\epsilon]$, this recovers the A_{inf} -cohomology theory.

In particular, this suggests that the completion of “ $\text{Spec } \mathbb{Z} \times \text{Spec } \mathbb{Z}$ ” along the diagonal is related to $\text{Spf } \mathbb{Z}[[q-1]]$.

Previous progress towards this result was made by Pridham, [Pri16], and Chatzistamatiou, [Cha], and announced by Masullo. In particular, Chatzistamatiou was able to write down explicitly the quasi-automorphism of $q\Omega_{\mathbb{Z}[T]}^\bullet$ for an automorphism of $\mathbb{Z}[T]$, like $T \mapsto T+1$; this is a highly nontrivial result!¹³

Unfortunately, the proof of Theorem 10.1 proceeds by first constructing such complexes after p -adic completion, and then patching them together in some slightly artificial way, so we believe that there is still much more to be understood about what exactly happens along the diagonal.

In fact, the prismatic cohomology defined in [BS] gives in the p -adic case a Breuil-Kisin variant¹⁴ of the A_{inf} -cohomology theory for varieties over \mathcal{O}_K where K is a finite extension of \mathbb{Q}_p . This Breuil-Kisin module contains some slightly finer information than the q -de Rham cohomology and is in some sense a Frobenius descent of it, but it is not clear how to combine them for varying p . This is related to the observation that in the analogy with t -motives, the diagonal $T = t$ corresponds to Hodge(-Tate) cohomology, while the Frobenius-twisted diagonal $T = t^p$ corresponds to de Rham cohomology. In this picture, the q -de Rham cohomology would sit at the completion at $T = t^p$, while the prismatic picture seems more adapted to a picture corresponding to the completion at the diagonal $T = t$.

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¹³It is virtually impossible to follow the constructions of [BMS16] to get an explicit quasi-isomorphism over A_{inf} .

¹⁴Taking values in Breuil-Kisin modules as defined in [Kis06].

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